

GRANT AGREEMENT
BETWEEN
THE NORWEGIAN MINISTRY OF FOREIGN AFFAIRS
AND
SUSTAINABLE SEAS TRUST
REGARDING
RAF-18/0036, TOWARDS ZERO PLASTICS TO THE SEAS OF
AFRICA

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PART I: SPECIFIC CONDITIONS

This grant agreement (the Agreement) has been entered into between:

- (1) The Norwegian Ministry of Foreign Affairs (MFA), represented by the Royal Norwegian Embassy in Pretoria (the Embassy) and
- (2) Sustainable Seas Trust, registered in South Africa as a charitable trust (IT 2203/ 2006), as a Not for Profit Organisation (NPO Number: 078-120-NPO) and a Public Benefit Organisation (PBO Number: 930022444) (the Grant Recipient),

jointly referred to as the Parties.

1 SCOPE AND BACKGROUND

- 1.1 The Grant Recipient has submitted an application to MFA dated 10 March 2019 (the Application) regarding financial support to the project titled Towards Zero Plastic to the Seas of Africa, RAF-18/0036 (the Project). The estimated costs of the Project are indicated in the budget attached as Annex A to this Agreement.

The Grant is a new phase which builds upon the Study, RAF-17/0065; Feasibility Study-Combating Marine Waste in Africa funded by MFA in 2018. The study was conducted by the Grant Recipient.

- 1.2 MFA has decided to award a grant to be used exclusively for the implementation of the Project (the Grant). The Parties expect the Project to be implemented during the period from 1 April, 2019 to 30 April 2023 (the Support Period).
- 1.3 The Parties have agreed to enter into an Agreement, consisting of this part I; Specific Conditions, part II; General Conditions, and part III; Procurement Provisions, all of which form an integral part of this Agreement. In the event of discrepancies between the Specific Conditions and the General Conditions or Procurement Provisions, the Specific Conditions shall prevail.

2 OBJECTIVES OF THE PROJECT

- 2.1 The expected results of the Project are as follows:

The Project's planned effect(s) on society is (Impact).

- Protect and conserve ocean resources by reducing the flow of plastic pollution to the oceans of the coasts of Africa.

The planned effects for the target group of the Project are (Outcomes)

- Outcome 1.1 Flow of plastic pollution to the Nelson Mandela Bay, South Africa, will be reduced by 90% compared to 2019 baseline.

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- 4.2 Disbursement after the current calendar year is subject to Norwegian Parliamentary appropriations. Significant reductions in the Parliament's annual allocation to the relevant budget line may lead to a reduction in annual Grant allocations and/or in the total Grant amount. The annual Grant allocations must be confirmed by MFA following the Parliament's approval of the
- 4.1 The Grant shall amount to maximum NOK 39 700 000 (Norwegian Kroner Thirty Nine Million and Seven Hundred Thousand.)

4 THE GRANT

- 3.3 The Grant Recipient shall identify, assess and mitigate any relevant risks associated with the implementation of the Project, including the risk of corruption and other financial irregularities, and any potential negative effects that the Project may have on the environment and climate, gender equality and human rights.
- 3.2 During the implementation of the Project, the Grant Recipient shall exercise the necessary diligence, efficiency and transparency in line with sound financial management and best practice principles.
- 3.1 The Project shall be implemented in accordance with the Agreement, including all annexes, and the latest approved Application, including implementation plan and budget.

3 IMPLEMENTATION OF THE PROJECT

The full results framework is included as Annex B to this Agreement.

- Output 4.** Communications and networking will be used to increase understanding among the public, governments, civil society, academia and schools to encourage commitments to a clean environment.
- Output 3.** Economic incentives and enterprises will be promoted for people in low income areas to increase employment by using waste, thereby removing it from the environment.
- Output 2.** Education about plastic waste and its flow to the sea is carried out in schools, among adults, municipalities, civil society and businesses in the private sector.
- Output 1.** Research regarding plastic waste (amount of waste, sources, transport and flow, toxicity, strategies for reducing waste) is generated for the scientific community, government, civil society and the private sector.

Outcomes

The planned main products and/or services of the Project are (Outputs). Relevant for all three

- Outcome 1.2 Methodology used at Nelson Mandela Bay will be shared and replicated in one catchment in each of the following countries: Kenya, Madagascar, Mauritius, Mozambique, Seychelles and Tanzania
- Outcome 1.3 Information on this methodology and lessons learned disseminated broadly throughout Africa

state budget for the relevant budget year. If the Grant amount is reduced the Grant Recipient must revise the implementation plan, budget and results framework correspondingly.

- 4.3 The Grant, including accrued interest, shall be used exclusively to finance the actual costs of the implementation of the Project during the Support Period.
- 4.4 The Grant may be used to cover overheads/indirect costs up to a maximum of 7 % of MFA's the actual costs of the Project.
- 4.5 The Grant Recipient is responsible for obtaining any additional resources which may be required to duly implement the Project.

5 DISBURSEMENT

- 5.1 The Grant shall be disbursed in advance instalments based on the financial need of the Project for the upcoming period, which shall not exceed six months. The disbursements shall be made upon MFA's receipt of written disbursement requests from the Grant Recipient, describing the financial need for the period in question. The first disbursement shall include approved Project expenses incurred prior to the signing of this Agreement.
- 5.2 Financial need refers to the budgeted expenditure for the upcoming period, less any funds available to the Project from all other sources during the same period.
- 5.3 The financial need shall be documented through an updated financial statement for the Project and a reference to the latest approved implementation plan and budget.
- 5.4 The disbursement requests shall be signed by the chief financial officer/an authorised representative of the Grant Recipient. A confirmation that the Project is being implemented in accordance with the Agreement shall be included in the disbursement request.
- 5.5 All disbursements are conditional upon the Grant Recipient's continued compliance with the requirements of the Agreement, including the timely fulfilment of reporting obligations. MFA may withhold disbursements in accordance with article 17 of the General Conditions if it finds that the requirements of the Agreement have not been met. Except for the Project's first year, the second disbursement each year is subject to MFA's receipt and approval of the progress report and financial report.
- 5.6 All disbursements will be made to the following bank account:

Name of the account: SST DONATIONS
Account no.:10033508235
Name and address of the bank: Nedbank,
Grahamstown, 122005
Swift/BIC code: NEDSZAJJ
Currency of the account: ZAR
- 5.7 The Grant Recipient shall immediately acknowledge receipt of the funds in writing. The amount received shall be stated, as well as the date of receipt and the exchange rate applied.

6 REPORTING AND OTHER DOCUMENTATION

6.1 The following shall be submitted by the Grant Recipient to MFA:

- a) A **progress report** covering the period from April to March shall be submitted to MFA by May each year. The progress report shall include the content specified in article 2 of the General Conditions. MFA's standard reporting format shall be used.
- b) A **financial report** covering the period from April to March shall be submitted to MFA by May each year. The financial report shall include the content specified in article 3 of the General Conditions. The final financial report shall cover the entire Support Period and shall be submitted along with the final report referred to in article 6.1 f) of the Specific Conditions.
- c) An **audit report** covering the annual financial statements of the Project shall be submitted to MFA by May each year. The audit report shall comply with the requirements set out in article 7 of the Specific Conditions and article 5 of the General Conditions. The management letter (matters for governance attention) shall be attached to the audit report.
- d) An updated **implementation plan and budget** covering the period from April to March shall be submitted to MFA by May each year. The implementation plan and budget shall include the content listed in article 1 of the General Conditions.
- e) The **annual report and audit report** of the Grant Recipient shall be submitted to MFA by May each year. If the auditor in addition submits a management letter (matters for governance attention) this shall be attached to the audit report.
- f) A **final report** for the Support Period shall be submitted to MFA no later than 6 months after the end of the Support Period. The final report shall include the content listed in article 4 of the General Conditions. MFA's standard reporting format shall be used.

6.2 If the Grant Recipient is unable to meet the deadlines set out above, MFA shall be informed immediately.

6.3 All implementation plans, budgets and reports shall be approved in writing by MFA unless otherwise agreed by the Parties.

7 AUDIT

7.1 The annual financial statements of the Project shall be audited in accordance with International Standards of Auditing (ISA) 800 ("Special considerations audits of financial statements prepared in accordance with special purpose frameworks") or ISA 805 ("Special considerations audits of single financial statements and specific elements, accounts or items of a financial statement").

The annual financial statements of the Project shall be audited in accordance with the country's national auditing standards which are equivalent to International Standards of Auditing (ISA) 800 ("Special considerations audits of financial statements prepared in accordance with special purpose frameworks") or ISA 805 ("Special considerations audits of single financial statements and specific elements, accounts or items of a financial statement").

7.2 Additional requirements applicable to the auditor and the audit report are included in article 5 of the General Conditions.

- 7.3 The Grant Recipient is responsible for submitting the audit report to MFA within the deadline indicated in article 6 of the Specific Conditions.

8 FORMAL MEETINGS

- 8.1 The Parties shall hold formal meetings once per year, tentatively in June in order to discuss i.a. the results achieved by the Project during the Support Period. The meetings shall be called and chaired by the Grant Recipient.
- 8.2 Unless otherwise agreed, the Parties shall discuss the latest progress report and financial report, as well as the implementation plan and budget for the upcoming period. In the event that such reports have not been received at least three weeks before the meeting, the Parties shall agree upon a new date to hold the meeting.
- 8.3 The Grant Recipient shall record main issues discussed, points of view expressed and decisions made, in minutes from the meeting. The Grant Recipient shall submit the minutes to MFA no later than two weeks after the meeting for comments. The agreed minutes shall be signed by both Parties.
- 8.4 The Parties shall hold formal meetings if/when requested by MFA. Details regarding agenda and procedures will be agreed upon by the Parties.

9 REVIEWS AND OTHER FOLLOW-UP MEASURES

- 9.1 A mid-term review focusing on progress to date shall be carried out by mid-2021. The Grant Recipient shall draft the terms of reference for the review and submit them to the other Party for approval. The costs of the review shall be included in the Project budget.
- 9.2 An end-term review focusing on results achieved by the Project shall be carried out by February 2023. The Grant Recipient shall draft the terms of reference for the review and submit them to the other Party for approval. The costs of the review shall be included in the Project budget covered by MFA over and above the Grant.
- 9.3 A start up review to be carried out within the first year of the project. The costs of the review shall be covered by MFA over and above the grant.
- 9.4 If the Grant Recipient or another interested party initiates a review or evaluation of activities wholly or partly funded by the Grant, MFA shall be informed. The Grant Recipient shall forward a copy of the report of any such review or evaluation to MFA without undue delay.

10 PROCUREMENT

- 10.1 All procurement under the Project shall be completed in accordance with the Procurement Provisions in Part III of this Agreement.
- 10.2 If the total value of a contract exceeds NOK 100 000, the call for tenders/the shortlist of suppliers/the award criteria and their weighting/the procurement record and the signed contract shall be submitted to MFA for information.

10.3 Along with the documentation mentioned above, the Grant Recipient shall confirm in writing that the requirements agreed on in this article 10 have been fulfilled.

11 REPAYMENT OF INTEREST AND UNUSED FUNDS

11.1 Upon the end of the Support Period or upon termination of this Agreement, any unused funds that total more than NOK 500 shall be repaid to MFA as soon as possible and at the latest within 6 months. The repayment shall include any interest which has not been used for Project purposes, and other financial gain accrued on the Grant.

11.2 Repayments shall be made to the following bank account:

Name of the account: Kgl.Norsk Ambassade i Pretoria
Account no.: 7694 05 13630
IBAN no.: NO16 7694 0513 630
Name and address of the bank: DnB ASA, 0021 Oslo, Norway
Swift/BIC code: DNBANOKK

11.3 The transaction shall be clearly marked: "Unused funds". The name of the Grant Recipient shall be stated, along with MFA's agreement number and agreement title.

12 SPECIAL PROVISIONS

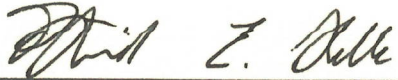
- a) General Conditions article 14 shall be replaced with the following: "The Grant Recipient shall make project documentation available to anyone upon request unless disclosure is prohibited by confidentiality obligations and/or if it may be detrimental to the Grant Recipient's legitimate interests. "Project documentation" shall include this Agreement and any contracts, cooperation agreement or other sub-agreements financed by the Grant, the Application and all agreed reports."

13 NOTICES

- 13.1 All communication to MFA concerning the Agreement shall be directed to the Embassy in Pretoria at the following bem@mfa.no cc embpta@mfa.no .
- 13.2 All communication to the Grant Recipient concerning the Agreement shall be directed to Dr A.J. Ribbink at the following address/e-mail address: a.ribbink@sst.org.za.
- 13.3 MFA's agreement number and agreement title shall be stated in all correspondence regarding this Agreement, including disbursement requests and repayment of unused funds.

14 SIGNATURES

- 14.1 By signing part I of the Agreement, the Parties confirm receipt and approval of part II; General Conditions, and part III; Procurement Provisions, which all form an integral part of the Agreement.
- 14.2 This Agreement has been signed in two -2- original copies in the English language. In the event of any discrepancies between this English language version and any later translations, the English language version shall prevail.

Place: *PRETORIA*
Date: *10 JUNE 2019*


for the Norwegian Ministry of Foreign Affairs,

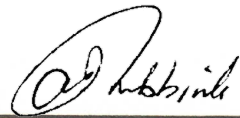
Astrid Emilie Helle

Ambassador

Royal Norwegian Embassy, Pretoria

Kenton on Sea

10th June 2019



for Sustainable Seas Trust,

Anthony van Lier Ribbink

Chief Executive Officer

Sustainable Seas Trust, Kenton on Sea Office

Attachments:

- Annex A: Approved budget for the Project
Annex B: Results framework

ANNEX A TABLE 1. BUDGET SUMMARY

	2019	2020	2021	2022
RESEARCH	R	R	R	R
Remote sensing	2 312 570,65	1 053 795,00	1 236 355,00	1 196 420,00
Measuring and Monitoring	1 967 983,76	1 380 447,00	1 480 040,00	1 569 038,00
Mobile application	77 425,00	18 256,00	13 366,00	13 692,00
Microplastic toxicity	2 434 933,12	1 005 058,00	1 151 921,00	991 366,00
Global Ghost Gear research		1 560 562,00	1 183 249,60	
Waste from vessels; ghost gear		102 690,00	204 972,50	395 682,50
EDUCATION AND CAPACITY BUILDING				
Education Resource book actions	945 400,00	1 662 600,00	1 238 800,00	1 304 000,00
ERB Production & distribution	257 540,00	371 640,00	133 660,00	125 510,00
Teaching programmes	233 090,00	459 660,00	506 930,00	547 680,00
African Waste Academy action	686 800,50	682 073,50	707 420,00	767 974,50
Youth Network	671 022,10	721 193,50	713 940,00	753 304,50
ECONOMIC INCENTIVES AND ENTERPRISES				
Economics and Packa-ching	1 858 689,00	1 856 570,00	2 046 057,50	2 082 732,50
COMMUNICATIONS AND NETWORKING				
Communications, art & films	4 104 902,35	3 818 193,50	3 983 394,00	4 132 050,00
SUPERVISION, MANAGEMENT & OFFICE				
Supervision and management	1 548 500,00	1 597 400,00	1 678 900,00	1 744 100,00
Direct Costs	1 059 500,00	1 075 800,00	1 108 400,00	1 124 700,00
Indirect Costs	489 000,00	521 600,00	570 500,00	619 400,00
Percentage of indirect costs	17 098 856,48	16 290 138,50	16 279 005,60	15 623 550,00
	3 %	3 %	4 %	4 %

TABLE 2. REMOTE SENSING MEASUREMENT, MAPPING & MONITORING

	R	R	R	R
Personnel	2 019	2 020	2 021	2 022
Lead researcher	489 000,00	505 300,00	537 900,00	554 200,00
Assistant researcher	293 400,00	293 400,00	293 400,00	342 300,00
Satellites				
Software & hardware	73 350,00		40 750,00	
Training	17 930,00		19 560,00	
Running costs	17 930,00	26 080,00	29 340,00	35 860,00
Drone				
Software & hardware	1 177 275,65		61 940,00	
Training	53 790,00	32 600,00	46 455,00	57 050,00

DIRECT PROJECT OPERATIONAL COST

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Maintenance & insurance	10 595,00	10 595,00	11 410,00	11 410,00	DIRECT PROJECT OPERATIONAL COST TO ALLOW DRONE SURVEYS
Plane					
Two flights per annum	130 400,00	136 920,00	146 700,00	146 700,00	
Operational costs	48 900,00	48 900,00	48 900,00	48 900,00	DIRECT PROJECT OPERATIONAL COST
Field operational costs	48 900,00	48 900,00	48 900,00	48 900,00	
Annual Totals	2 312 570,65	1 053 795,00	1 236 355,00	1 196 420,00	

TABLE 3. RIVER & LAND-BASED MEASUREMENT, MAPPING & MONITORING

	R	R	R	R	
Personnel	2 019	2 020	2 021	2 022	
Lead researcher	489 000,00	505 300,00	537 900,00	554 200,00	
Technician	198 860,00	211 900,00	218 420,00	221 680,00	
Equipment					
Sampling trawls	39 120,00	6 520,00	39 120,00	6 520,00	
Underwater camera samplers	39 120,00	26 080,00	7 335,00	9 291,00	
Research & lab. equipment	20 864,00	5 379,00			
Operational costs field					DIRECT PROJECT OPERATIONAL COST
Field activities RSA	109 946,76	127 140,00	141 321,00	151 590,00	
Field costs/training WIO flights	275 307,00	203 424,00	220 702,00	321 925,00	
Accommodation WIO	156 480,00	166 260,00	172 780,00	176 040,00	
Subsistence WIO	17 115,00	20 375,00	23 635,00	26 406,00	
Vehicle for field research	521 600,00				
Operational costs laboratory					
Communications	11 736,00	12 388,00	13 040,00	13 366,00	
Publications	19 560,00	19 560,00	26 080,00	29 340,00	
Training and skills transfer	69 275,00	76 121,00	79 707,00	58 680,00	
Annual Totals	1 967 983,76	1 380 447,00	1 480 040,00	1 569 038,00	

TABLE 4. MOBILE APPLICATION DEVELOPMENT AND ROLL OUT

	R	R	R	R	
Personnel	2 019	2 020	2 021	2 022	
Same researchers as those in monitoring so no budget allocation					
Equipment					
Hardware and software for development	60 310,00				
Operational costs					
In laboratory and office	6 194,00	6 846,00	6 846,00	7 172,00	DIRECT PROJECT OPERATIONAL COST
Travel & Subsistence (meet developers)	10 921,00	11 410,00	6 520,00	6 520,00	DIRECT PROJECT OPERATIONAL COST
Annual Totals	77 425,00	18 256,00	13 366,00	13 692,00	

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TABLE 5. MICRO-PLASTIC TOXICITY IN THE FOOD CHAIN

Personnel		R	R	R	R
Lead researcher		2 019	2 020	2 021	2 022
Technician	489 000,00	505 300,00	537 900,00	554 200,00	
	Technician shared among researchers				
Equipment					
Freeze drier	172 780,00				
Research lab sample Freezer	159 740,00				
microscopes	76 610,00				
balance	62 918,00				
safety cabinet & fume hood	55 420,00				
centrifuge	48 900,00				
oscillator	52 160,00				
lab furniture	52 160,00				
glassware and chemicals	44 010,00	50 530,00	55 420,00	61 940,00	
lab equipment maintenance	74 980,00	84 760,00	84 760,00	97 800,00	
Operational costs field	799 678,00				
Field activities RSA	109 946,76	127 140,00	141 321,00	151 590,00	
Training in WIO countries			94 540,00	104 320,00	
Operational costs laboratory					
Communications	11 736,00	12 388,00	13 040,00	13 366,00	
Sample analyses	130 400,00	130 400,00	73 350,00		
Publications	8 150,00	8 150,00	8 150,00	8 150,00	
Production of microplastics manual					
	86 344,36	86 390,00	143 440,00		
Annual Totals	2 434 933,12	1 005 058,00	1 151 921,00	991 366,00	

TABLE 6. GHOST GEAR: GGGI COMPONENT

Personnel		R	R	R	R
GGGI Project coordinator	2 019	2 020	2 021	2 022	
Project staff costs		358 600,00	293 400,00		part time
		192 910,50	385 821,00		
Equipment					
Storage, sacks, cleaning materials etc		369 977,40	47 155,90		
Bailing machine hire		107 172,50	107 172,50		
Operational costs field including travel					
Airfare, ground travel, accommodation		118 207,60	68 264,40		
Indirect costs		140 391,90	146 145,80		
Operational costs training workshops					
1 Baseline development programme		230 433,10	45 640,00		
2 Workshop		42 869,00	89 650,00		
Annual Totals	1 560 562,00	1 183 249,60			

TABLE 7. WASTE FROM VESSELS AND GHOST GEAR: SST COMPONENT

	R	R	R	R
Personnel	2 019	2 020	2 021	2 022
SST Researcher same person as involved in monitoring Technician same person as in monitoring project, so salary covered				
Equipment				
Bailing machine hire			107 172,50	107 172,50
Operational costs field including travel				
Transport in South Africa		22 820,00	26 080,00	26 080,00
accommodation in South Africa		48 900,00	52 160,00	52 160,00
Operational costs training workshops				
1. Baseline development programme		8 150,00	19 560,00	22 820,00
2. Workshops RSA mainly GGI-SST		22 820,00		
3. Training workshops including W/OMSA				187 450,00
Annual Totals	102 690,00	204 972,50	395 682,50	

TABLE 8a. DEVELOPMENT AND ROLL OUT OF EDUCATION: RESOURCE BOOK

Personnel	R	R	R	R
Lead educator	2 019	2 020	2 021	2 022
Course content educator	489 000,00	505 300,00	521 600,00	554 200,00
Educator adults	456 400,00	472 700,00	374 900,00	407 500,00
Assistant educator		309 700,00	326 000,00	342 300,00
Annual Totals	945 400,00	1 662 600,00	1 238 800,00	1 304 000,00

TABLE 8b BOOK PRODUCTION COSTS INCLUDING TRANSLATION

	R	R	R	R
Expert content consultants	2 019	2 020	2 021	2 022
Editing and layout	110 840,00	195 600,00	52 160,00	40 750,00
Translations	146 700,00	130 400,00	32 600,00	32 600,00
Roll out costs		45 640,00	48 900,00	52 160,00
Annual Totals	257 540,00	371 640,00	133 660,00	125 510,00

TABLE 9. EDUCATION AND TRAINING ACTIVITY COSTS

	R	R	R	R
Transport in South Africa	2 019	2 020	2 021	2 022
School field trips for children	117 360,00	130 400,00	138 550,00	146 700,00
Teach the teachers workshops	52 160,00	138 550,00	163 000,00	195 600,00
	35 860,00	122 250,00	130 400,00	130 400,00

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Materials for teaching	27 710,00	68 460,00	74 980,00	74 980,00
Annual Totals	233 090,00	459 660,00	506 930,00	547 680,00

TABLE 10. AFRICAN WASTE ACADEMY

	R	R	R	R
Personnel	2 019	2 020	2 021	2 022
AWA Principal Coordinator	489 000,00	505 300,00	521 600,00	554 200,00
Technician with IT skills shared with communication	-	-	-	-
Equipment and services	-	-	-	-
Webinar computer facilities	61 940,00	-	-	-
Webinar licencing for multiple users	8 150,00	9 780,00	9 780,00	11 410,00
Operational costs	-	-	-	-
Internet support for beaming	79 136,50	81 500,00	81 500,00	84 760,00
Communications including phone	6 194,00	6 520,00	6 927,50	7 742,50
Meetings and workshops	42 380,00	78 973,50	87 612,50	109 862,00
Annual Totals	686 800,50	682 073,50	707 420,00	767 974,50

TABLE 11. AFRICAN YOUTH WASTE NETWORK

	R	R	R	R
Personnel	2 019	2 020	2 021	2 022
AYWN Principal Coordinator	352 080,00	374 900,00	391 200,00	407 500,00
Student and volunteer support	97 800,00	114 100,00	114 100,00	114 100,00
Equipment and services	-	-	-	-
Computer to foster youth networking	55 208,10	40 750,00	-	-
Computer for assistant for youth networking	-	-	-	-
Operational costs	-	-	-	-
Communications including phone	6 194,00	6 520,00	6 927,50	7 742,50
Youth festival part-time organizer	61 940,00	78 973,50	87 612,50	109 862,00
Transport to schools	97 800,00	105 950,00	114 100,00	114 100,00
Annual Totals	671 022,10	721 193,50	713 940,00	753 304,50

TABLE 12. ECONOMIC INCENTIVES & ENTERPRISES

	R	R	R	R
Personnel	2 019	2 020	2 021	2 022
Manager	489 000,00	505 300,00	521 600,00	554 200,00
Assistant work mainly with municipalities	326 000,00	342 300,00	358 600,00	374 900,00
Student projects & interns	40 750,00	45 640,00	48 900,00	52 160,00
Equipment and services	-	-	-	-
Computers	61 940,00	34 230,00	-	-
Printed Materials	11 736,00	20 375,00	22 820,00	22 820,00
Community clean-up equipment	7 335,00	13 040,00	14 670,00	14 670,00

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Operational costs including support for Packa-Ching

Develop economic enterprises Packa Ching	403 914,00	398 535,00	489 000,00	489 000,00
Community meetings (5 pa)	179 300,00	203 750,00	228 200,00	228 200,00
Faith group and sports group research	78 240,00	97 800,00	117 360,00	117 360,00
Municipal collaborative activities	140 180,00	66 830,00	107 580,00	91 280,00
Communications including phone	22 494,00	22 820,00	23 227,50	24 042,50
Travel to meetings & work in communities	97 800,00	105 950,00	114 100,00	114 100,00
Annual Totals	1 858 689,00	1 856 570,00	2 046 057,50	2 082 732,50

TABLE 13. COMMUNICATIONS & NETWORKING

	R	R	R	R
Personnel	2 019	2 020	2 021	2 022
Head of communications	684 600,00	700 900,00	717 200,00	733 500,00
Network coordinator	352 080,00	374 900,00	391 200,00	407 500,00
Art	352 080,00	374 900,00	391 200,00	407 500,00
Film producer (part-time)	97 800,00	105 950,00	114 100,00	122 250,00
Videographer	352 080,00	374 900,00	391 200,00	407 500,00
Film Editor	352 080,00	374 900,00	391 200,00	407 500,00
IT support for networking	176 529,00	374 900,00	391 200,00	407 500,00
Events coordinator	352 080,00	374 900,00	391 200,00	407 500,00
Equipment and services	586 800,00			
Cameras, audio, lights, tripods	63 170,65			
Art software and drawing platforms	104 320,00	110 840,00	101 060,00	107 580,00
Web costs including hosting				
Operational costs	-	-	-	-
Transport for filming, to meetings etc	293 400,00	309 700,00	326 000,00	342 300,00
Communications including phone	8 622,70	8 883,50	9 454,00	9 780,00
Accommodation & subsistence	35 860,00	39 120,00	42 380,00	45 640,00
Advisory panel meetings (2pa)	105 950,00	105 950,00	114 100,00	114 100,00
Workshops	35 860,00	39 120,00	39 120,00	42 380,00
2020 conference (prep & execution)	48 900,00	81 500,00	-	-
2022 conference (prep & execution)	-	-	65 200,00	97 800,00
National/international conferences	70 090,00	50 530,00	91 280,00	55 420,00
Festivals	32 600,00	16 300,00	16 300,00	16 300,00
Annual Totals	4 104 902,35	3 818 193,50	3 983 394,00	4 132 050,00

TABLE 14. SUPERVISION, MANAGEMENT AND INDIRECT COSTS

	R	R	R	R
Executive Director/programme manager	2 019	2 020	2 021	2 022
CEO supervision, guidance, quality control	684 600,00	700 900,00	717 200,00	717 200,00
Accountants and auditor	374 900,00	374 900,00	391 200,00	407 500,00
	195 600,00	195 600,00	211 900,00	228 200,00
INDIRECT COSTS				

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Office running costs	293 400,00	326 000,00	358 600,00	391 200,00	INDIRECT COSTS
Annual Totals	1 548 500,00	1 597 400,00	1 678 900,00	1 744 100,00	

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RESULTS FRAMEWORK:

LEVEL	EXPECTED RESULT	INDICATORS	Indicator data					Data source of verification	Comments
			BASELINE Y1	TARGET Y2	TARGET Y3	FINAL TARGET Y4			
IMPACT	Protect and conserve ocean resources by reducing plastic pollution in catchments and hence the flow to the oceans and coasts of Africa.	<ul style="list-style-type: none"> Less plastic in the catchments, rivers, estuaries and coastal seas. Countries move closer to achievement of SDGs, particularly SDG 14. 							
OUTCOME 1.1	Flow of plastic pollution to the Nelson Mandela Bay, South Africa, reduced by 90% compared to 2019 baseline.	<ul style="list-style-type: none"> SST survey measurements for Nelson Mandela Bay. 	Baseline amount of plastic in the environment of the catchment and flowing to the sea.	30% reduction	60% reduction	90% reduction	Own data	Measurable declines	
OUTCOME 1.2	Methodology used at Nelson Mandela Bay, shared and replicated in at least one catchment area in	<ul style="list-style-type: none"> Partner organizations appointed in South Africa and in 6 other countries 	One partner organization participating in each country.	Two partner organizations participating in at least three countries.	Two partner organizations participating in all seven countries.	Three partner organizations participating in all seven countries.	Own data	Growth in participation annually	

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Annex B
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	Kenya, Madagascar, Mauritius, Mozambique, Seychelles and Tanzania	<ul style="list-style-type: none"> Number of replicated projects established in the Nairobi Convention countries. 	seven	12	15	21	Own data	AMWVN will also assist with data in every new area
OUTCOME 1.3	Information on methodology disseminated broadly throughout Africa.	<ul style="list-style-type: none"> Amount of information shared via AMWVN database. Increasing number of countries using materials. 	Three information (guiding) documents distributed. Seven countries	Six information (guiding) documents distributed. 10 countries	Nine information (guiding) documents distributed. 15 countries	Fifteen information (guiding) documents distributed. 20 countries	Own data	Cumulative
<i>OUTPUT 1 (relevant for all three outcomes)</i>	Research regarding plastic waste (amount of waste, sources, transport and flow, toxicity, strategies for reducing waste) is generated for the scientific community, government, civil society and the private sector.	<ul style="list-style-type: none"> Number of grids surveyed through remote sensing. Number of catchments for which baseline surveys are established. Number of sites at which mobile applications used. 	15 Seven	30 14	45 18	60 21	Own data Own data	Annual actual number Cumulative
			Seven	14	18	21	Own data	Cumulative

		<ul style="list-style-type: none"> Number of microplastic toxicity analyses carried out. Number of baselines established and monitored regarding sea-based sources of plastic pollution. 	20	40	50	60	Own data	Annual actual number
			2	20	40	50	Own data	Cumulative
<i>OUTPUT 2 (relevant for all three outcomes)</i>	Education about plastic waste and its flow to the sea is carried out in schools, among adults, municipalities, civil society and businesses in the private sector.	Education resource book is produced.	First edition.	Second edition plus small education field guide.	Third edition plus small education field guide.	Fourth edition plus two supplementary education booklets.	Own data	Annual actual number
		Number of teachers participating in training.	40	90	140	200	Own data	Annual actual number
		Number of children educated.	550	1000	5000	11000	Own data	Annual actual number
		Number of online courses and webinars available through the	15	25	35	40	Own data	Annual actual number

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		<p>African Waste Academy.</p> <ul style="list-style-type: none"> Number of Youth Network activities. 	eight	20	25	40	Own data	Annual actual number
OUTPUT 3 (relevant for all three outcomes)	Economic incentives and enterprises will be promoted for people in low income areas to increase employment by using waste, thereby removing it from the environment.	<ul style="list-style-type: none"> Number of Packaging enterprises supported. Number of other economic enterprises supported (e.g. precious plastics initiative, rethaka foundation). Number of members of clubs and faith-based groups demonstrating an understanding of the value of plastic waste (questionnaire). 	2	8	14	20	Own data	Cumulative
			200	400	800	1000	Own data	Cumulative
			15	20	40	60	Own data	Cumulative
OUTPUT 4 (relevant for all three outcomes)	Communications and networking will be used to increase understanding among the public,	<ul style="list-style-type: none"> Number of visits to the AMWN website. 	3000 hits	10000 hits	20000 hits	40000 hits	Own data	Annual actual number
			12					

Annex B
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	governments, civil society, academia and schools to encourage commitments to a clean environment.	<ul style="list-style-type: none"> Number of videos produced and distributed. Number of AMWN workshops held. 	4	24	24	24	Own data	Annual actual number
		<ul style="list-style-type: none"> Biannual AMWN conferences held. 	8	April 2020			Own data	Annual actual number
		<ul style="list-style-type: none"> Number of public events. 		16	35	40	Own data	Annual actual number

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